



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William E. Sobel

Application No.: 10/775,471

Filing Date: February 9, 2004

Title: System State Rollback After

Modification Failure

Confirmation No.: 1357

Group Art Unit: 2193

Examiner: Kakali Chaki

Attorney Docket No.: 44420423-8314

## INFORMATION DISCLOSURE STATEMENT

SONNENSCHEIN NATH & ROSENTHAL LLP Customer No. 45969

M/S AMENDMENT Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: M/S Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 4-21-06

SONNENSCHEIN NATH & ROSENTHAL LLP

Dated: 4-21-06 By: Joan Marris

Sir:

Pursuant to the provisions of 37 CFR § 1.56 and §1.97-§1.98, Applicant hereby submits patents, publications or other information enclosed herewith and listed on the enclosed Form PTO-1449 (modified) of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose. As far as is known to the undersigned, this IDS is being filed before the mailing date of a first Office Action on the merits, or before a first Office Action after filing a Request for Continued Examination under §1.114.

A list of the patents and publications is set forth on the attached Form PTO-1449 (Modified). A copy of the items on PTO-1449 (Modified) is supplied herewith, except for issued United States Patents and published United States Patent Applications. A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is given only for the non-English language references.

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention." MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, submission of this IDS is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement complies with 37 CFR § 1.98 and MPEP § 609, and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge our Deposit Account No. 19-3140, for any fees required in connection with the filing of this Information Disclosure Statement. A duplicate copy of this Notice is enclosed for this purpose. In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted,

Dated: 121,2066

Reg. No. 47,471

Attorney of Record

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Complete if Known bstitute for form 1449A/PTO 10/775.471 **Application Number** INFORMATION DISCLOSURE February 9, 2004 Filing Date STATEMENT BY APPLICANT William E. Sobel **First Named Inventor** 2193 Group Art Unit Kakali Chaki (use as many sheets as necessary) **Examiner Name** 44420423-8314 of 1 Sheet **Attorney Docket Number U.S. PATENT DOCUMENTS** Pages, Columns, Lines, **Document Number** Examiner Cite **Publication Date** Name of Patentee or Where Relevant Passages or Initials\* No.1 MM-DD-YYYY Applicant of Cited Document Number-Kind Code<sup>2 (if known)</sup> Relevant Figures Appear US-6,654,787 11/25/2003 Aronson et al. US-6,771,765 8/3/2004 Crowther et al. **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines, Foreign Patent Number T6 Examiner Cite **Publication** Name of Patentee or Where Relevant Passages or Initials\* No.1 Relevant Figures Appear Date Applicant of Cited Country Code<sup>3</sup> Number<sup>4</sup> Kind Ćode<sup>5</sup> (if known) MM-DD-Document YYYY OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T2 Examiner CiteNo.1 item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials\* publisher, city and/or country where published. Examiner Date Signature Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unque citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file ( and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.